

Your Guide to Shipping Lithium Batteries



## Regulatory Compliance



US Department of Transportation (DOT):

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Federal Aviation Administration (FAA):

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Pipeline and Hazardous Materials Safety Administration (PHMSA):

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#### **DISCLAIMER:**

This guide is written to help you understand the requirements for the transportation of Lithium batteries. It is not a replacement to the regulatory compliance documents published by the DOT, FAA, PHMSA, IATA, ICAO and IMDG. The regulatory departments are the final authority for defining proper shipping procedures.

If you have questions, please contact Kevin Bergrud at 1-877-469-4255.

The regulations that govern the transport of lithium metal and lithium ion cells and batteries can be very confusing. Whether you are shipping a single battery, a palletized load of batteries or a battery powered device, the safety of your package and of the people who handle it along the way, depends on compliance with these regulations.







# Is your Lithium Battery Small, Medium or Large?

The regulation for shipping a lithium battery (custom pack) is based on the size of the battery to be shipped. A battery is made up of one or more connected cells. These size categories depend on the lithium metal or alloy (Li) content of a non-rechargeable battery or cell, or the equivalent lithium content (ELC) for rechargeable lithium batteries or cells.

### **Small Battery**

### **Medium Battery**

## **Large Battery**

Gram Limit: less than 8

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**Examples:** 

Cell Phone

Photo & Video Cameras

Gram Limit: 8-24.9

**Examples:** 

**Extended Life Notebooks** 

Lighting Equipment

Professional Video Equip.

Gram Limit: 25 +

**Examples:** 

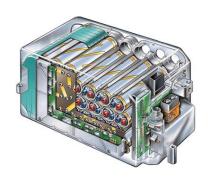
**Electric Vehicles** 

**Hybrid Vehicles** 

**Mobility Devices** 











Lithium metal and lithium ion cells and batteries are listed as Class 9 Miscellaneous hazardous materials in the U.S. and international hazardous materials regulations and thus are subject to specific packaging, marking, labeling, and shipping paper requirements.

Batteries or Cells must be separated so as to prevent short circuits and must be packed in a strong outer packaging:

Cardboard Dividers

Bubble Wrap Vermiculite

Dividers Bag

Steel Drum Special Labeling

Exceptions exist for batteries / cells that meet set criteria.

In order to qualify for these exceptions, batteries and cells must meet certain testing requirements and contain a limited amount of lithium metal or "equivalent lithium content" or Watt Hours.

Wh =  $(mAh / 1,000) \times Volts$ 

A battery or cell made available for transport must meet the

UN/DOT 38.3 test requirements. The only cells or batteries that can ship without that testing completed are samples/prototypes for customer evaluation and samples / prototypes that going to the testing facility for the UN38.3 testing.

This material must be declared and ship as fully regulated Class 9 hazardous material with an approved carrier certified to transport Class 9.

### **UN38.3 Series of Conducted Tests**

#### PRIMARY / Non Rechargeable

Test #1 Altitude Simulation

Simulates air transport under low pressure conditions.

Test #2 Thermal Test

Assesses cell and battery seal integrity & internal electrical connections. Conducted using extreme and rapid temperature changes.

Test #3 Vibration

Simulates vibration during transport.

Test #4 Shock

This simulates possible impacts during transport.

Test #5 External Short Circuits

Simulates an external short circuit and no rupture or fire within six hours of the test.

Test #6 Impact

Battery is laid on a flat surface, a 15.8mm bar placed across center of sample and 9.1kg mass is dropped from 61+/-2.5cm onto the sample.

Test #7: Not Applicable

Test #8: Forced Discharge (Cells)

Ability of primary or rechargeable cell to withstand a forced discharge condition.

#### **SECONDARY / Rechargeable**

Test #1 Altitude Simulation

Simulates air transport under low pressure conditions.

Test #2 Thermal Test

Assesses cell and battery seal integrity & internal electrical connections. Conducted using extreme and rapid temperature changes.

Test #3 Vibration

Simulates vibration during transport.

Test #4 Shock

This simulates possible impacts during transport.

Test #5 External Short Circuits

Simulates an external short circuit and no rupture or fire within six hours of the test.

Test #6 Impact

Battery is laid on a flat surface, a 15.8mm bar placed across center of sample and 9.1kg mass is dropped from 61+/-2.5cm onto the sample.

Test #7: Overcharge

Evaluates the ability of a rechargeable battery to withstand an overcharge condition.

Test #8: Forced Discharge (Cells)

Ability of primary or rechargeable cell to withstand a forced discharge condition.

### Items Needed for Submission for UN38.3 Compliance

#### **PRIMARY / Non Rechargeable**

Battery (Custom Packs): 8 + (2 Spares)

Mating Connectors: 8

Non Prismatic Cells: 40 + (10 Spares)

Prismatic Cells: 40 + (10 Spares)

Lead Time for Testing: 35-40 days after materials arrive at test lab

MSDS Sheet: Required

#### **SECONDARY / Rechargeable**

Battery (Custom Packs): 16 + (4 Spares)

Mating Connectors: 8

Non Prismatic Cells: 35 + (10 Spares)

Prismatic Cells: 40 + (10 Spares)

Lead Time for Testing: 35-40 days after materials arrive at test lab

MSDS Sheet: Optional



The UN38.3 certificate must remain on record and be available for the FAA / DOT personnel to access during regulatory compliance audit.





The information and guidelines set forth are made in good faith and are believed to be accurate at the date of preparation. ZEUS Battery Products make no warranty expressed or implied.

ZEUS Battery Products is committed to protecting our environment, keeping batteries out of our landfills and preserving our natural resources.

Please call the RBRC at 877-723-1297 for a recycling center near you or visit their website at: www.call2recycle.org.

## **Glossary of Terms**

Aggregate lithium content means the sum of the grams of lithium content or equivalent lithium content contained by the cells comprising a battery.

**Battery** means one or more cells which are electrically connected together by permanent means, including case, terminals, and markings.

**Button cell or battery** means a round small cell or battery when the overall height is less than the diameter.

*Cell* means a single encased electrochemical unit (one positive and one negative electrode) which exhibits a voltage differential across its two terminals.

Component cell means a cell contained in a battery.

*Cycle* means one sequence of fully charging and fully discharging a rechargeable cell or battery.

*Lithium content* is applied to lithium metal and lithium alloy cells and batteries, and for a cell means the mass of lithium in the anode of a lithium metal or lithium alloy cell, which for a primary cell is measured when the cell is in an un-discharged state and for a rechargeable cell is measured when the cell is fully charged. The lithium content of a battery equals the sum of the grams of lithium content contained in the component cells of the battery.

*Primary* means a cell or battery which is not designed to be electrically charged or recharged.

*Prismatic cell or battery* means a cell or battery whose ends are similar, equal and parallel rectilinear figures, and whose sides are parallelograms.

*Protective devices* means devices such as fuses, diodes and current limiters which interrupt the current flow, block the current flow in one direction or limit the current flow in an electrical circuit.

*Rated capacity* means the capacity, in ampere-hours, of a cell or battery as measured by subjecting it to a load, temperature and voltage cut-off point specified by the manufacturer.

*Rechargeable* means a cell or battery which is designed to be electrically recharged.

*Rupture* means the mechanical failure of a cell container or battery case induced by an internal or external cause, resulting in exposure or spillage but not ejection of solid materials.

*Short circuit* means a direct connection between positive and negative terminals of a cell or battery that provides a virtual zero resistance path for current flow.

*Undercharged* means a primary cell or battery that has not been wholly or partly discharged.

*Venting* means the release of excessive internal pressure from a cell or battery in a manner intended by design to preclude rupture or disassembly.